

S&P Dow Jones Indices Announces Updated Rebalancing Results for the S&P U.S. Preferred Stock Index

NEW YORK, OCTOBER 09, 2018: S&P Dow Jones Indices today announced an update to the October rebalancing results for the S&P U.S. Preferred Stock Index. The results have been modified to reflect that AllianzGI Convertible & Income Fund, 5.625% Series A Cumulative Preferred Shares (NCV PR A) and AllianzGI Convertible & Income Fund II, 5.50% Series A Cumul Preferred Shares (NCZ PR A) will be added to the Index effective with the October rebalance.

Below is the updated summary of rebalance changes effective for prior to the open on October 22, 2018.

S&P U.S. Preferred Stock Index		
Effective prior to the open of trading on October 22, 2018.		
Ticker	NAME	Action
AMH PR H	American Homes 4 Rent-6.25 % Cum Conv Red Perp Pfd Registered Shs of Benef Int Series H	ADD
BAC PR K	Bank of America Corp Depository Shs Repr 1/1000th Non-Cum Perp Red Pfd Registered Shs Ser -HH	ADD
BPRAP	Brookfield Property REIT Inc.-6.375% Series A Preferred Stock	ADD
CIM PR C	Chimera Investment Corporation-Cum Conv Red Pfd Registered Shs Series C	ADD
ESGRP	Enstar Group Limited Deposit Shs Repr 1/1000th Non-Cum Red Pfd Registered Shs Series D	ADD
ETP PR D	Energy Transfer Partners, L.P.-Cum Red Perp Pfd Units Series D	ADD
FTV PR A	Fortive Corp. Cum Conv Pfd Registered Shs 2018-01.07.21 Series A	ADD
JPM PR D	JP Morgan Chase & Co-Depository Shs Repr 1/400th Non-Cum Red Pfd Registered Shs Series -DD	ADD
KEY PR J	KeyCorp-Depository Shares Repr 1/40th Non-Cum Perp Pfd Registered Shs Series F	ADD
NCV PR A	AllianzGI Convertible & Income Fund, 5.625% Series A Cumulative Preferred Shares	ADD
NCZ PR A	AllianzGI Convertible & Income Fund II, 5.50% Series A Cumul Preferred Shares	ADD
OAK PR B	Oaktree Capital Group LLC-6.550 % Non-Cum Red Pfd Units Series B	ADD
SRE PR B	Sempra Energy 6.75 % Cum Conv Pfd Registered Shs 2018-15.07.2021 Series B	ADD
SSW PR I	Seaspan Corp-Cum Red Perp Pfd Registered Shs Series I	ADD
TNP PR F	Tsakos Energy Navigation Limited Cum Red Perp Pfd Registered Series F	ADD
USB PR P	US Bancorp-Depository Shs Repr 1/1000th Non-Cum Perp Pfd Registered Shs Series K	ADD
ARI PR C	Apollo Commercial Real Estate Finance Inc 8 % Cum Red Perp Pfd Shs Series C	DROP
CCI PR A	Crown Castle International Corp Cum Conv Pfd Registered Shs Series A	DROP
CMRE PR D	Costamare Inc 8 3/4 % Cum Red Perp Pfd Shs Series D	DROP
CORR PR A	CorEnergy Infrastructure Trust Inc Deposit Shs Repr 1/100th 7 3/8 % Cum Red Perp Pfd Series A	DROP
SLG PR I	SL Green Realty Corporation. Pref Ser -I	DROP
TOO PR A	Teekay Offshore Partners L.P. 7.25% Cum Red Units Ser -A- Pref	DROP
TWO PR A	Two Harbors Investment Corp Cum Red Pfd Registered Shs Series A	DROP
UBP PR H	Urstadt Biddle Properties Inc Cum Conv Red Perp Pfd Registered Shs Series H	DROP
UMH PR C	UMH Properties Inc 6.75 % Cum Conv Red Pfd Registered Shs Series C	DROP

The S&P U.S. Preferred Stock Index is designed to serve the investment community's need for an investable benchmark representing the U.S. preferred stock market. Preferred stocks are a class of capital stock that pays dividends at a specified rate and has a preference over common stock in the payment of dividends and the

liquidation of assets. The index includes all preferred stocks issued by corporations and trading on major U.S. exchanges, subject to criteria relating to minimum size, liquidity and time to maturity.

For more information about S&P Dow Jones Indices, please visit www.spdji.com

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